

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re U.S. Patent Application of )  
AOKI )  
Application Number: To be assigned )  
Filed: Concurrently Herewith )  
For: OPTICAL TRANSMITTING APPARATUS AND )  
MANUFACTURING METHOD THEREOF )

1c971 U.S. PTO  
10/080587  
02/25/02

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449.

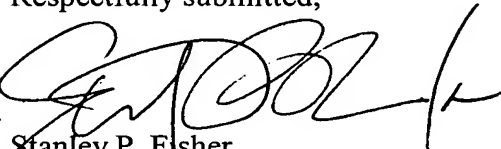
Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified, is submitted herewith.

This Information Disclosure Statement is submitted with the initial application. Accordingly, no fee is due or payable at this time.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Please charge any additional fees or credit any overpayments in connection with this paper to Deposit Account No. 08-1480.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Stanley P. Fisher', written over the typed name.

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**February 25, 2002**

Form PTO 1449  U.S. Department of Commerce Patent and Trademark Office  Information Disclosure Statement by Applicant	ATTY. DOCKET NUMBER ASAM.0043	SERIAL NUMBER To Be Assigned
	APPLICANT AOKI	
	FILING DATE Concurrently Herewith	GROUP

RECEIVED U.S. PTO  
 10/000567  
 02/25/02



**U.S. Patent Documents**

Examiner Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBC LASS	FILING DATE

**Foreign Patent Documents**

Examiner Initial	DOCUMENT NUMBER	FILING DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
	9-213918	2/1/1996	Japan			Abs.	X

**Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)**

		H.-G. Bach, W. Schlaak, G.C. Mekonnen, A. Seeger, R. Steingrüber, C. Schramm, G. Jacumeit, R. Ziegler, A. Umbach, G. Unterbörsch, W. Passenberg, W. Ebert and Th. Eckardt, "InP-based pin TWA photoreceivers with low group delay scatter over 40 GHz bandwidth, 25 <sup>th</sup> Optical Fiber Communication International Conference, OFC2000, paper FG4, pp. 123-125
EXAMINER	DATE CONSIDERED	

*EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant*

INFORMATION UNDER 37 CFR 1.56(a)

(For Initial Filing)

The following references are submitted as information  
to comply with the duty of disclosure under 37 CFR 1.56(a):

References	Disclosed in the specification?		Copy			Translation	
	Yes	No	Enc.	Follow	Please obtain	Enc.	Not avail-able
1. The 25 <sup>th</sup> Optical Fiber Communication International Conference, OFC2000, paper FG4	<input type="radio"/>		<input type="radio"/>				
2. JP-A-09-213918	<input type="radio"/>		<input type="radio"/>			<input type="radio"/> (only abstract)	
3.							
4.							
5.							

Concise explanation (relevant portions)